### NOTE

# Carbon-Dioxide-Induced Plasticization Effects in Solvent-Cast Polyethylene Membranes

#### PRATIBHA PANDEY,<sup>1</sup> R. S. CHAUHAN,<sup>1</sup> A. K. SHRIVASTAVA<sup>2</sup>

<sup>1</sup> Defence Research & Development Establishment, Gwalior, 474 002, India

<sup>2</sup> Physics Department, Jiwaji University, Gwalior, 474 002, India

Received 17 October 2000; accepted 10 July 2001

**Key words:** plasticization; polyethylene (PE); carbon dioxide; microhardness; strength modulus

#### INTRODUCTION

Plasticizers are known to produce in polymers many subtle changes at the macro level, including increased softness and ductility, a lowering of the glass-transition temperature  $(T_{\rm g}),$  and increased chain mobility.  $^{1-10}$  It has been reported that carbon dioxide (CO<sub>2</sub>) induces plasticization in certain glassy polymers due to its condensibility at certain pressures.<sup>2–4</sup> It is assumed that plasticization occurs when the polymer absorbs carbon dioxide to an extent that it increases the free volume of the polymer. The swelling of polymer matrix caused by the absorption of  $CO_2$  enhances the segmental mobility, which in turn, increases the permeability of gases.<sup>10</sup> Sanders<sup>3</sup> studied the CO<sub>2</sub>-induced changes in poly(ether sulfone) and observed a significant decrease in the  $T_{\rho}$ . Most of the reported studies on carbon-dioxide-induced plasticization effects were carried out on polymers with polar groups in either the main chain or a side chain. Based on the results, it was hypothesized that these polar groups interact with CO<sub>2</sub> and give rise to plasticization effects at high pressures.<sup>2-4</sup> The results were supported by the shift in the IR frequency of carbonyl groups as a result of CO<sub>2</sub> exposures and by NMR relaxation studies.<sup>6-11</sup> Also, CO<sub>2</sub> exposed poly(methyl methacrylate) showed increased intersegmental spacing by 0.18 Å as measured by wide angle x-ray diffraction (WADX).<sup>7</sup> Similar results were obtained for polycarbonate and cellulose acetate, and the increased segmental spacing resulted in the enhanced permeability of gases.<sup>6–8</sup> No definite trend however was noticed by Bos et al.<sup>10</sup> between the threshold gas pressure, which induces plasticization, and the chemical structure or the physical properties of the polymer. Also, no association was observed with respect to changes in  $T_g$ or fractional free volume and the CO<sub>2</sub> gas pressure.<sup>10</sup>

This article deals with the effect of  $CO_2$  on the microhardness of solvent-cast polyethylene (PE) films. Microhardness is one of the important mechanical parameters that can offer direct information about changes in the morphology and microstructure of thermoplastics. These results were substantiated by Young's modulus, which is also sensitive to the segmental chain movement. As far as we are aware, data concerning the effect of  $CO_2$ -induced plasticization on microhardness and tensile modulus are still not available. The interaction of  $CO_2$  with a nonpolar polymer resulted in a lowering of microhardness and Young's modulus.

#### **EXPERIMENTAL**

#### **Film Preparation**

Films (40 $\mu$  thick) of low-density PE were prepared. We dissolved commercially available PE granules in tolu-

Correspondence to: R. S. Chauhan (em@drde.8m.com). Journal of Applied Polymer Science, Vol. 83, 2727–2731 (2002) © 2002 John Wiley & Sons, Inc. DOI 10.1002/app.10228



Figure 1 Arrangement for the pressure chamber.

ene at 100°C to prepare a 3% solution, and we cast the membranes by pouring the solution on a 24  $\times$  24-cm glass plate in a vacuum oven at 100°C. The membranes were dried for 3 days at 95°C under vacuum before use. Films were free from any visible defects. The thickness of the films was measured at different places to ensure uniformity and was found to be 40  $\pm$  2  $\mu$ m.

#### **Optical Microscopy**

Films were examined in a polarized optical microscope (Leica DMLP, Germany) for the spherulitic pattern of the crystals and other structural details. The asymmetrical nature of the film was noticed, with one surface being smooth and shiny and the other being rough and dull.

#### Scanning Electron Microscopy

The surface relief of solvent-cast films was observed on a JSM-840 JEOL (Japan) scanning electron microscope at 5 kV after the surface was coated with a thin layer of gold in a JFC-1100 (Japan) sputter coating unit.

#### **Carbon Dioxide Exposure**

PE films were exposed to  $\rm CO_2~(10~kg/cm^2)$  in a pressure chamber for 48 h at 27°C. All tests [Fourier transform infrared (FTIR) spectroscopy, microhardness, and tensile Modulus] were conducted within 30 min after the removal of films from the pressure chamber. Figure 1 depicts the arrangement of the pressure chamber. It consisted of a cell (D) that could be pressurized up to 15

kg/cm<sup>2</sup> by a  $CO_2$  gas cylinder (A) with the help of a valve (B). The cell pressure could be monitored by a pressure gauge (C). The cell could be maintained at a fixed temperature by means of a thermostat (E). Specimens for microhardness tests were mounted on glass slides (G) and pressurized. For other tests, specimens were prepared and kept in a free state inside the chamber.

#### **IR Spectra**

The IR spectra of control and  $CO_2$ -exposed films were taken on a Nicolet-Impact 410 FTIR spectroscope (USA). The continuous line in Figure 2 represents the spectrum of a control PE film, and the dotted line represents that of a  $CO_2$ -exposed film.

#### Microhardness

Microhardness measurements were performed with a Carl-Zeiss Vickers microhardness tester (MPH-10) (Germany). The microhardness  $(H, \text{ kg/mm}^2)$  values were derived from the residual projected impression with the equation  $H = kp/d^2$ , where d is the mean diagonal length of the indentation, p is the applied force in kg, and k is a geometrical factor equal to 1.854. A load of 1.25 g was used. A total of 10 readings each were taken for the exposed and control films. Load cycles of 15 s were used. Care was taken to mount the films in such a way that the shining surface faced the indentor.



Figure 2 FTIR spectra of control (continuous line) and exposed (dotted line) film.

#### **Tensile Modulus**

Test specimens 100 mm in length and 10 mm in width were prepared from the films. The stress–strain curves were recorded with a Good-brand Testometric Micro 350 tensile tester (UK) with a crosshead speed of 150 mm/min.

#### **RESULTS AND DISCUSSION**

Table I presents the data on the Vickers microhardness of solvent-cast PE membranes before and after  $CO_2$ exposure. The  $CO_2$  exposed films showed reduced microhardness. The reduction in microhardness was statistically significant at a 95% confidence level from their corresponding control values except for in Experiment 4, where the variable (i.e., SD) in the control specimen was found to be on the higher side. However, the trend was consistent for the six experiments done at different times. The reduction in microhardness after the  $CO_2$  exposure can be explained on the basis of a plasticization effect induced by carbon dioxide in polymeric membranes.<sup>4-10</sup> It is well known that a plasti-

## Table I Microhardness of Unexposed and $\rm CO_2$ -Exposed PE Films

Unexposed	COExposed	
Films	CO <sub>2</sub> -Exposed Films	
$\begin{array}{c} 2.93 \pm 0.08 \\ 3.19 \pm 0.10 \\ 2.87 \pm 0.06 \\ 3.80 \pm 1.02 \\ 4.09 \pm 0.92 \end{array}$	$egin{array}{llllllllllllllllllllllllllllllllllll$	
	$Films$ $2.93 \pm 0.08$ $3.19 \pm 0.10$ $2.87 \pm 0.06$ $3.80 \pm 1.02$ $4.09 \pm 0.92$ $3.94 \pm 0.89$	

n = 10. Values were significant at the 95% level except for the value marked by an asterisk (\*). SD = standard deviation.

Sample	Tensile Strength	Elong at Break			Modulus	
	(N/mm <sup>2</sup> )	CV %	(%)	CV (%)	(N/mm <sup>2</sup> )	CV %
Unexposed	0.75	16.0	4.65	17.8	2236	21.1
Exposed	0.50	20.0	5.10	22.0	2161	28.0
Unexposed	0.48	19.0	6.0	18.3	3025	13.3
Exposed	0.34	23.0	8.0	25.0	2614	22.8
Unexposed	0.65	19.5	7.9	18.0	2017	15.4
Exposed	0.42	22.0	10.0	25.6	1629	20.7

Table II Mechanical Properties of Control and CO<sub>2</sub>-Exposed PE Films

n = 8. cv = coefficient of variation.

cizer interacts with a glassy polymer in such a way that it increases the segmental chain mobility. Because the microhardness testing is a measure of elastic and plastic deformation caused by dislocation and slip movement as a result of imposed stress, it can be inferred that absorbed  $CO_2$  helps in increasing the deformation as measured by microindentation. Structurally, the plasticizing molecules are physically absorbed at the interfaces of an amorphous structure that exists in the polymer and influence its mechanical properties.<sup>10–14</sup> The enhanced segmental chain motion due to plasticization effects has also been explained on the basis of increased free volume.<sup>1,4–8</sup> The data on microhardness quantified the plasticization effect in terms of reduced hardness of the film.

Table II presents tensile strength, elongation, and initial modulus values for control and CO2-exposed films. The data show that after the exposure to an easily condensible gas with large molecules with low root mean square velocities, the tensile strength of the film was reduced, and there was a corresponding increase in elongation at break. Because of the solubility of the gas in the polymer matrix, chain mobility was enhanced, which helped the material to dissipate the applied stress in the form of increased extension. The initial modulus, an indication of resistance to change under tensile load, indicated that there was a reduction in the resistance offered by chain molecules to the applied stress. It is hypothesized that the absorbed carbon dioxide influenced the initial modulus. Although the effect was consistent, there appeared to be variation in the values of tensile strength, elongation, and initial modulus as indicated by a fairly large coefficient of variation. This could be due to subtle batchto-batch variation in the structure during the film preparation. The other reason for the variation could be explained on the basis of the structure revealed by electron micrographs of the cast films. Figure 3 shows the lower smooth surface, whereas Figure 4 shows the upper surface, of the cast film with globular structure. There was a contiguous globular pattern forming a network structure with microholes in between. These structural variations could have influenced the loadelongation behavior, resulting in large variations. It

is known that stress concentration increases substantially at discontinuities, microholes, and other structural irregularities under tensile loading.<sup>16</sup> All these will vary the load-distribution pattern of the chain molecules, resulting in a spectrum of tensile properties.

The FTIR spectra of the unexposed and CO<sub>2</sub> exposed membranes were identical and are shown in Figure 2. Before and after exposure to carbon dioxide, there was practically no shift in the absorption frequency for the  $CH_2$  moiety (1463 cm<sup>-1</sup>) and other characteristic frequencies for PE (CH— stretching at 2885  $cm^{-1}$  and  $CH_2$  rocking at 719 cm<sup>-1</sup>). In the absence of any polar groups in the polymer chain, it may be inferred that the plasticization effect was physical in nature as the FTIR spectra ruled out the possibility of chemical interaction between the CO<sub>2</sub> moiety and ethylene molecules. The study substantiated that the plasticization was dependent on the physical state of the swollen glassy polymer network caused by absorbed CO<sub>2</sub>. It was the number of  $CO_2$  molecules sorbed that was important in causing plasticization and not its polar character.<sup>10</sup> The idea



Figure 3 Scanning electron micrograph of smooth (lower) surface of PE film at  $100 \times$  magnification.



**Figure 4** Scanning electron micrograph of rough (upper) surface of PE film at  $100 \times$  magnification.

was supported by the fact that inert gases such as argon also showed plasticization effects at elevated pressures.  $^{\rm 17}$ 

#### CONCLUSIONS

The study showed that at high pressures, carbon dioxide induces plasticization in PE, a nonpolar polymer. It altered the mechanical properties of the film indicated by reduced microhardness and tensile modulus. In the absence of a shift in the absorption frequency for CH<sub>2</sub>, the FTIR results ruled out the possibility of any chemical interaction. Unlike most of the previous studies reported in literature, which have emphasized polarpolar interactions (polymer–gas interactions) for the observed plasticization effects, we hypothesize that the plasticization effect is physical in nature and is caused by the absorption of  $CO_2$ , leading to deterioration in mechanical properties, and that the effect may be reversible on a temporal scale.

The authors thank Dr. R. V. Swamy, Director of the Defence Research & Development Establishment, for

consistent encouragement during the study. Thanks are due to Dr. S. Banerjee and Dr. P. K. Guch for tensile testing. Help rendered by Mr. U. K. S. Chauhan for typesetting the manuscript is thankfully acknowledged.

#### REFERENCES

- Tager, A. Physical Chemistry of Polymers; Mir: Moscow, 1978; pp 547–559.
- Wesslinger, M.; Schoeman, S.; Boomgaard, Th.; Van der; Smolders, C. A. Gas Sep Purif 1991, 5, 222.
- 3. Sanders, E. S. J Membr Sci 1988, 37, 63.
- Chiou, J. S.; Paul, D. R. J Polym Sci Part B: Polym Phys 1989, 45, 167.
- 5. Kesting, R. E.; Fritzsche, A. K. Polymeric Gas Separation Membranes; Wiley: Singapore, 1993; pp 207–214.
- Raymond, P. C.; Paul, D. R. J Polym Sci Part B: Polym Phys 1990, 28, 2079.
- Houde, A. Y. Ph.D. Thesis, University of Poona, India, 1991.
- Wessinger, R. G.; Paulaitis, M. E. J Polym Sci Part B: Polym Phys 1991, 29, 631.
- Handa, Y. P.; Lampron, S.; O'Neill, M. L. J Polym Sci Part B: Polym Phys 1994, 32, 2549.
- Bos, A.; Punt, I. G. M.; Wessling, M.; Strathmann, H. J Membr Sci 1999, 155, 67.
- Assink, R. A. J Polym Sci Polym Phys Ed 1974, 12, 2281.
- Santa Cruz, C.; Balta Calleja, F. T.; Zachmann, H. C.; Stribeck, N.; Asano, T. J Polym Sci Part B: Polym Phys 1991, 29, 819.
- Murthy, L. V. R.; Pandey, A. C.; Shrivastava, A. K.; Chauhan, R. S. J Appl Polym Sci 1996, 60, 147.
- Balta Calleja, F. T.; Ohm, O.; Bayer, R. K. Polymer 1994, 35, 4775.
- 15. Pandey, P.; Chauhan, R. S. J Membr Sci, submitted.
- Ward, I. M.; Hadley, D. W. An Introduction to the Mechanical Properties of Solid Polymers; Wiley: Singapore, 1993; pp 246-286.
- Kamiya, Y.; Mizoguchi, K.; Naito, Y. J Polym Sci Part B: Polym Phys 1992, 30, 1183.